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# Collaborative Research Project: Developing and Testing a Robot-Assisted Intervention for Children With Autism

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The present work is a collaborative research aimed at testing the effectiveness of the robot-assisted intervention administered in real clinical settings by real educators. Social robots dedicated to assisting persons with autism spectrum disorder (ASD) are rarely used in clinics. In a collaborative effort to bridge the gap between innovation in research and clinical practice, a team of engineers, clinicians and researchers working in the field of psychology developed and tested a robot-assisted educational intervention for children with low-functioning ASD (N = 20) A total of 14 lessons targeting requesting and turn-taking were elaborated, based on the Pivotal Training Method and principles of Applied Analysis of Behavior. Results showed that sensory rewards provided by the robot elicited more positive reactions than verbal praises from humans. The robot was of greatest benefit to children with a low level of disability. The educators were quite enthusiastic about children's progress in learning basic psychosocial skills from interactions with the robot. The robot nonetheless failed to act as a social mediator, as more prosocial behaviors were observed in the control condition, where instead of interacting with the robot children played with a ball. We discuss how to program robots to the distinct needs of individuals with ASD, how to harness robots' likability in order to enhance social skill learning, and how to arrive at a consensus about the standards of excellence that need to be met in interdisciplinary co-creation research. Our intuition is that robotic assistance, obviously judged as to be positive by educators, may contribute to the dissemination of innovative evidence-based practice for individuals with ASD.

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# **1. INTRODUCTION**

There is a growing recognition of the innovation-to-practice gap arisen in social robotics (Fernaeus et al., 2010; Pennisi et al., 2016; Walters, 2018; Ismail et al., 2019), a field dedicated to developing robots to assist persons with special needs. To date, few social robots have gone beyond the prototype stage, or else are only deployed for research purposes (Wagenmakers, 2016). Their sale volume is still low (6,423 units in 2017), compared with that of domestic help robots (6.1 million units in 2017) (IFR, 2018). Kim et al. (2012) (see also Cabibihan et al., 2013; Pennisi et al., 2016) ascribed these difficulties to the lack of collaboration between researchers and end-users. Too long, research effort focused on the technological features of newly engineered robots (e.g., Kozima et al., 2007; Robins et al., 2009), not taking into account the specific needs of end-users. End-users do not

evaluate a technical innovation, however outstanding it may
be (Payne, 2015). Rather, they evaluate its added value relative
to existing alternatives and its accordance to work routines
(Joachim et al., 2018).

The hard earned lesson now is that to overcome the 119 innovation-to-practice gap, close collaboration between 120 engineers, researchers, caregivers and management team is 121 needed. The collaboration may take the form of a participatory, 122 pragmatic, or *collaborative approach*, where all the stakeholders 123 work hand in hand to co-create tools best fitting the needs of 124 end-users (Schwartz and Lellouch, 1967; March et al., 2005; 125 Zwarenstein et al., 2008; Marchand et al., 2011; Forman et al., 126 2013; Bauer et al., 2015). In this emerging framework, having 127 recently gained impetus from the paper by Balas and Boren 128 (2000), researcher does not solely ask whether a new tool 129 works when used in optimal laboratory conditions. Rather, he 130 evaluates whether the tool works when used in real-life clinical 131 settings, without highly-qualified staff, a homogenous group 132 of patients, or tight experimental control (Cargo and Mercer, 133 2008; Zwarenstein et al., 2008; Brownson et al., 2012). The tool's 134 acceptance is assessed by a questionnaire and implementation 135 failures and context reported as a result on its own (Stahmer 136 et al., 2015). We exploit here the collaborative approach to 137 co-create and test socially assistive robot during an educational 138 intervention dedicated to children with autism spectrum 139 disorder (ASD). 140

### 142 **1.1. Robots and ASD**

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ASD is an early-onset, pervasive developmental disorder that 143 manifests itself in anomalies in social communication and 144 interaction, together with abnormal restricted and/or repetitive 145 patterns of behavior and interests (Lord et al., 1994; DSM 5, 146 2013). For instance, children with ASD avoid physical contact, 147 do not orient toward humans, do not point to communicate, 148 do not express enjoyment or interest, and may spend hours at 149 lining up toys or flipping objects (Rutter et al., 2003). As ASD 150 is incurable, some persons with this disorder require costly and 151 intensive lifetime care, support and treatment, motivating the 152 development of social robots to assist them and their caregivers. 153

The arising of social robots dedicated to ASD can be traced 154 back to the seminal study by Emanuel and Weir (1976) (see 155 also Howe, 1983), where a computer-controlled electrotechnical 156 device, a turtle-like robot (LOGO) moving on wheels around the 157 floor, was used as a remedial tool for an ASD boy. It was not until 158 the late 1990s that multiple laboratories adopted this topic for 159 research (see Werry and Dautenhahn, 1999; Diehl et al., 2012; 160 Begum et al., 2016; Ismail et al., 2019; for reviews). 161

To date, nearly 30 robots were tested as remedial tools for ASD 162 [e.g., : Labo-1 (Werry et al., 2001); Muu (Miyamoto et al., 2005), 163 Robota (Billard et al., 2007), FACE (Pioggia et al., 2007), Keepon 164 (Kozima et al., 2007), Aibo (Francois et al., 2009), IROMEC 165 (Iacono et al., 2011), Charlie (Boccanfuso and O'Kane, 2011), 166 NAO (Shamsuddin et al., 2012), Flobi (Damm et al., 2013); GIPY-167 1 (Giannopulu, 2013), Pleo (Kim et al., 2013), KASPAR (Wainer 168 et al., 2014), Darwin-OP (Peng et al., 2014), Pabi (Dickstein-169 Fischer and Fischer, 2014), Zeno (Salvador et al., 2015), Jibo 170 (Guizzo, 2015), Probo (Simut et al., 2016), Maria (Valadao et al., 171

 2016), Sphero (Golestan et al., 2017), CARO (Yun et al., 2017),
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 KiliRo (Bharatharaj et al., 2018), MINA (Ghorbandaei Pour et al.,
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 2018), QTrobot (Costa et al., 2018), Milo (Chalmers, 2018), Leo
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 (She et al., 2018), Daisy (Pliasa and Fachantidis, 2019), SAM
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 (Lebersfeld et al., 2019), SPRITE (Clabaugh et al., 2019), Actroid 176

 F (Yoshikawa et al., 2019) etc.].
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The key hypothesis behind this endeavor states that social 178 robots can maybe overcome some of the motivational and 179 sensory barriers encountered by individuals with ASD when they 180 interact with humans partners (Dautenhahn, 1999). In contrast 181 to their typically developing peers, for whom social interactions 182 are inherently rewarding, children with ASD exhibit only weak 183 activation of the brain's reward system in response to social 184 reinforcement (Chevallier et al., 2012; Delmonte et al., 2012; 185 Watson et al., 2015). Social Motivation Theory of ASD, Chevallier 186 et al. (2012) argued that ASD children neither seek out nor seek 187 to maintain relations with human partners, showing instead a 188 preference for nonhuman and often mechanic stimuli (Watson 189 et al., 2015). 190

In addition to these motivational issues, sensory processing 191 of persons with ASD is abnormal: they are often intolerant of 192 complex multimodal stimuli (Bogdashina, 2010, 2012), display 193 detail-focused perception (Happé and Frith, 2006), and sensory 194 sensitivities or aversions (Bogdashina, 2010), with intense social 195 anxiety (Spain et al., 2018). According to the Weak Central 196 Coherence theory (Happé et al., 2001) and Enhanced Perceptual 197 Functioning model (Mottron et al., 2006), the perceptual 198 processing of ASD persons is biased toward local features: these 199 children are incapable of integrating the variety of individual 200 pieces of information into global patterns. Intense World 201 Theory of Autism (Markram, 2007) sugested that these persons 202 suffer from excessive neuronal information processing causing 203 informational overload and abnormal levels of anxiety, which 204 they seek to reduce with stereotypical and repetitive behaviors 205 (Rodgers et al., 2012). 206

Given these characteristics of ASD, it seems useful to examine 207 whether a social robot, with its motivational appeal, behavioral 208 repetitiveness, simplified appearance and lack of social judgment, 209 may be more appealing to individuals with ASD than real 210 humans. Therefore, in line with Social Motivation Theory of ASD 211 (Chevallier et al., 2012) our first working hypothesis (Hypothesis 212 1) is that children with ASD should positively react to sensory 213 rewards delivered by a robot, by manifesting their interest 214 and satisfaction when these stimuli are provided. In line with 215 Intense World Theory of Autism (Markram, 2007), we also 216 expect a reduction of anxiety-related undesirable behaviors (e.g., 217 stereotypes, screams, auto-aggressions, etc.) in the presence of the 218 robot (Hypothesis 2). 219

Yet, the key hope behind social robotics for ASD is that 220 robots act as social mediators: they mediate, that is, promote 221 or "catalyze" a cascade of so-called prosocial behaviors directed 222 toward humans: eye or head orienting, physical contact, pointing 223 to shared interest etc. (Dautenhahn, 2003; Feil Seifer and 224 Mataric, 2009; Diehl et al., 2012). Our third working hypothesis 225 (Hypothesis 3) is that in robot-assisted experimental conditions 226 the child produces prosocial behaviors not only toward the robot 227 but also toward humans. For the sake of clarity, a behavior is 228

coined below as "prosocial" only in case it is dedicated to human,
not to robot.

### 1.2. Building Up Robot Acceptance

In order to fulfill acceptance criteria of end-users, robot-assisted 233 interventions should meet the efficiency standards of health 234 services, tasked with assessing the level of experimental evidence 235 supporting the added value of newly created tools (Burns et al., 236 2011), and providing recommendations to practitioners (GRADE 237 Working Group, 2004). To accumulate such supportive evidence, 238 multiple experiences should show that interventions for ASD 239 work better when assisted by robots than in control condition, 240 without the help of electromechanical devices. 241

To date, such evidence is scarce (Miguel Cruz et al., 2017). Of 242 the 758 studies on robot-assisted interventions for ASD listed by 243 Pennisi et al. (2016), only 29 (0.04%!) were selected as meeting 244 clinical concerns. Publications still too often take the form of pilot 245 studies (e.g., Werry et al., 2001; Miyamoto et al., 2005; Duquette 246 et al., 2008; Robins et al., 2009; Costa et al., 2011; Dickstein-247 Fischer and Fischer, 2014) without control conditions, inferential 248 statistics, diagnosis methods and inclusion/exclusion criteria (see 249 Pennisi et al., 2016; Ismail et al., 2019 for critical reviews). 250 Although necessary as a starting point, these preliminary studies 251 are unable to establish the effectiveness of robotic tools in clinical 252 samples, according to the rules of clinical methodology (Kazdin, 253 1998). The best-established effect is the "likability" of robots 254 (Begum et al., 2016): children with ASD show enthusiasm for 255 robotic devices and willingly participate in games assisted by 256 these devices (Pliasa and Fachantidis, 2019) 257

To fit the needs of special needs educators, a collaborative 258 approach was adopted. The idea of the robot in this project 259 was born in 2011 in France when a father asked a team of 260 young engineers from School of Industrial Biology at Cergy 261 Pontoise to create games for his child with ASD. In 2014, a newly 262 created French start-up created a low-cost, remotely controlled 263 robot ball, that moves by rolling, vibrates and illuminates its 264 transparent cover with different colors. Similar to spherical 265 GIPY-1 (Giannopulu, 2013), Roball (Michaud et al., 2005), or 266 SPRK+ Sphero (Golestan et al., 2017) the robot belongs thus to 267 nonhumanoid devices. 268

The management team controlling the workflow enrolled the 269 special educators and the children with ASD, and only then 270 tasked researchers who could identify the educational goals 271 and develop the procedure for the robot-assisted psychosocial 272 skills training intervention. Children enrolled displayed low-273 functioning ASD, that is, intellectual quotient lower than 70 (i.e., 274 intellectual dysfunction). Note however that the focus lies here 275 on the effectiveness of the robot-assisted intervention, not on the 276 specific functioning of these low-functioning children. At the end 277 278 of our mission, we administered an acceptance questionnaire to analyze whether and how special educators accepted the robot-279 assisted intervention. We hoped that the intervention is judged 280 as useful and fitting work routines (Hypothesis 4). 281

### 282

### **1.3. Intervention**

We proposed an educational intervention targeting social skills and evaluated how efficient the robot is, as compared to the intervention without robotic help. In order to teach the social 286 skills, we designed two sets of lessons to be taught using 287 the Applied Analysis of Behavior (ABA) (Cooper et al., 2019) 288 educational method recommended by health services. The key 289 idea of ABA is to increase the probability of desirable behaviors by 290 providing reinforcers in the form of rewards (Skinner, 1981). For 291 the purpose of the present study, we chose the two general social 292 skills that are most often targeted by educational interventions 293 in ASD: requesting and turn-taking (Still et al., 2014; Huijnen 294 et al., 2017). Requesting allows children to initiate a social 295 interaction, express their needs and seek help, and leads to greater 296 independence. Turn-taking is involved in the regulation of any 297 social interaction. In order to exploit the added value of robots, 298 compared with computer-mediated therapy, we administered 299 tasks requiring body displacement in space, in particular during 300 turn-taking lessons. 301

In line with ABA, the principles of the Pivotal Training 302 Method (PTM) (Koegel et al., 1999, 2001) proposes that the 303 learning of general skills (here: turn-taking and requesting) 304 should bring about collateral improvements in a variety of 305 nontrained prosocial behaviors in interpersonal interaction. In 306 the present study, we thus focused on these expected collateral 307 improvements, hoping that nontrained prosocial behaviors 308 (here: orienting toward human, physical contact with human, 309 pointing to communicate enjoyment and interest etc.) are more 310 frequent in the robot-assisted than in control condition (viz. 311 Hypothesis 3). 312

To sum up, the goal of these analyses was twofold. (1) 313 First, we assessed the efficiency of the robot as a reward 314 deliverer (viz. Hypothesis. 1), as an undesirable behavior reducer 315 (viz. Hypothesis 2) and as social mediator (viz. Hypothesis 3). 316 We expected that positive reactions to reward and nontrained 317 prosocial behaviors are more frequent and that undesirable 318 behaviors are less frequent in the robot, as compared to the 319 control condition. (2) Second, we evaluated the acceptance of 320 robot-assisted intervention by special educators (viz. Hypothesis 321 4). As in collaborative research interventions are administered 322 by real caregivers, we anticipated that they could derail from 323 the experimental procedure dictated by experimenters (viz. 324 Hypothesis 5). 325

According to the suggestions of collaborative approach (Dingfelder and Mandell, 2011; Marchand et al., 2011), we conducted our study in two steps. After designing the first set of lessons devoted to requesting, we made successive modifications to the experimental protocol as problems emerged. Only then was the second turn-taking set of lessons administered and used for further analyses.

## 2. METHODS

### 2.1. Participants

The teamwork coordinator enrolled 20 children with ASD and33815 special educators in the study. They came from five special-339needs schools and centers in France (APEAI Ouest Herault in340Béziers, ADAPEI Papillons Blancs in Dunkirk, ADAPEI Papillons341Blancs d'Alsace in Mulhouse, Ar'Roch in Rennes, DASCA Adéle342

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de Glaubitz in Strasbourg, ADAPEI 44 in Nantes, APPARTE)
 where children receive care for their behavioral disorders.

As these centers correspond to small structures taking care 345 of children with various mental disorders, only 1-2 individuals 346 in each center fitted our inclusion/exclusion criteria: (1) 60-122 347 months of age at enrollment; (2) developmental age of 18-30 348 months assessed by verbal and preverbal cognition subtest from 349 Psychoeducational Profile (PEP-3) (Schopler et al., 2004) (see 350 below); (2) a diagnostic of ASD made by expert psychologists 351 from Regional Autism Resources Center, and reconfirmed here 352 by Social communication Questionnaire (SCQ, Rutter et al., 353 2003, see below); (3) no identifiable neurological disease or 354 major neurological treatment. The ratio between developmental 355 and maturational age was 0.28 (SD = 0.09), qualifying the 356 children as low-functioning (i.e., severe intellectual deficit). 357 Further psychological characteristics of our sample are provided 358 in Table 1. The female-male ratio was 3/17. 359

As the robot had a low level of autonomy (Level 2; see 360 Parasuraman et al., 2000), in each experimental session, in 361 addition to the special educator interacting with the child, 362 another person controlled the robot. Fifteen educators who cared 363 for the children applied the experimental protocol: 56% were 364 special needs monitors and 31% were special needs professionals, 365 67% had more than 10 years of experience, and 93% were women. 366 At least one special educator in each center reported having 367 already undergone a short ABA familiarization course. Just under 368 half (47%) stated that they had never used new technologies, 369 and just over half (53%) that they used them occasionally. The 370 interventionist and the families of all the children received a 371 letter explaining the goals, experimental procedure and rights 372 of parents and children, and provided their written informed 373 374 consent, in accordance with the Declaration of Helsinki. Each parent completed a form provided by the University of Toulouse 375 informing them about their rights and predictable risks in 376 comparison with foreseeable benefits. An ethics and scientific 377 committee of the consulting company in the role of intermediary 378 between the start-up, researchers and investors approved the 379 experimental protocol; the committee members were also present 380 during the first meeting. A declaration of ethical collection and 381 storage of data was also made to the French Data Protection 382 Authority (CNIL; ref.: 7e42415863j). 383

#### <sup>384</sup> 385 **2.2. Material**

### 386 2.2.1. Robot

We used a white, spherical prototype, measuring 18 cm in 387 diameter and weighing 900 grams that was enclosed in a 388 transparent plexiglass sphere resistant to shocks and pressure. 389 Designed with a smiling face, equipped with actuators (LEDs, 390 motors) and sensors (IMU 6-Axis, RFID), the prototype could 391 392 light up or blink in different colors, and moved on two wheels in contact with the sphere. The robot was powered by AAA 393 batteries and had autonomy of 3 to 4 h. Its behavior was remotely 394 controlled by a touch pad (iPad iOS 10 or 11) with which it 395 communicated through Bluetooth Low Energy over a distance of 396 397 about 20 m.

In view of the intervention, three key functions were programmed in the robot. It acted as reward deliver, displaying colored lights and spinning movements, and also as cue provider:400it offered specific lights and displacements prescribing required401behavior of the child (e.g., "Touch the robot if it is your turn and if402the robot is lit up in blue," see Table 5). Finally, it acted as lessons403organizer, as explained below.404

Two sets of seven lessons were developed. The application 405 on the graphic tablet allowed the interventionist to consult 406 the child's profile, which contained his/her experimental history 407 and preferred sensory rewards, select a lesson, and display the 408 lesson description and lesson control panel. The control panel 409 featured various icons to launch the robot's cue, record the child's 410 response, and provide rewards. Four types of responses from 411 the child could be recorded: failure, success with total prompt, 412 success with partial prompt, and success without prompt. 413

The control programs were developed on C++ for the robot 414 and on Swift for the tablet. We were not allowed by investors 415 to provide more technological details or the name of the device, 416 never described in the literature and not commercialized to date. 417

In addition to the robot, a shoulder strap was provided to hold the graphic tablet. For the purpose of the experiment, a GoPro camera (Hero), a tripod (Fotopro), and a memory card (microSDHC SanDisk Extreme 32) were given to each center. A child's chair, and hoops were also required for the intervention. Because of the spherical design of the robot, balls were used in control condition.

### 2.2.2. Tutorials

Three tutorials were offered to the educators: (1) a brief 427 introduction to ABA; (2) a technical description of the robot 428 (see section 2.2.1), together with a detailed presentation of 429 each set of lessons (see section 2.2.3); and (3) a description 430 of the experimental design underlying the intervention (see 431 section 2.3.2). 432

In the description of ABA, we recalled that in line with the 433 principle of selection by consequences (Skinner, 1981), the 434 educators would have to manage the sequence of events 435 controlling each child's behavior (antecedent, behavior, 436 consequence). According to the Discrete Trial Training 437 method (Smith, 2001) learning should take the form of trials, 438 each sequence involving an antecedent cue anticipating the 439 appropriate behavior (e.g., "Touch the robot in turn"), a prompt 440 wherein the educator assists the child (e.g., demonstration of 441 required gesture, hand-over-hand assistance, pointing, nodding 442 etc.), the child behavior (e.g., touching the robot in turn), 443 the environmental consequence (e.g., verbal praise), and the 444 intertrial interval (see Figure 1). We explained that providing 445 a reward immediately after the to-be-learned target response 446 reinforces the latter, increasing the probability of the target 447 response being produced in the future. 448

Before each session, given their knowledge of the child's 449 abilities and needs, the educators were asked to anticipate 450 the required level of prompting, to avoid delays between the 451 instruction and the prompt. They were told they should not 452 hesitate to start with all prompts to facilitate learning. Prompts 453 should be gradually faded out as learning proceeded, or increased 454 in the case of a child failing (Leaf et al., 2016). We explained how 455 instructions and rewards should be efficiently applied (e.g., brief, 456

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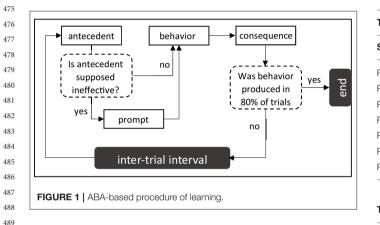
### TABLE 1 | Psychological tests used in the present experiment

Test	No. items	Item scoring	Score interpretations	Child score
SCQ	40	0–1	score>15: possible ASD	21.43 (3.06)
V-listening	20	0–2	score <70: delay in receptive communication	16.81 (9.62
V-speaking	32	0–2	Score <70: delay in expressive communication	22.15 (7.95)
V-autonomy	27	0–2	score <70: delay in personal autonomy	39.96 (15.46
V-socialization	26	0–2	score <70: delay in socialization	22.08 (7.09)
V-adaptation	30	0–2	score <70: delay in social adaptation	9.56 (6.85)
PEP-3: AEs	11	0–2	Higher score: better affective expression	9.93 (4.43)
PEP3: SR	11	0–2	Higher score: better social reciprocity	11.14 (4.02)
PEP3: CVPV	34	0–2	Provides developmental age	26.44 (7.37)
SPCR	85	0–1	Higher score: more sensory abnormalities	26.86 (5.64)
ESES	13	1–9	Higher score: higher self-efficacy belief	85.86 (10.31

For each test, the number of items, score range, score interpretation, mean score and standard deviation (SD) are provided for the children with ASD. SCQ, Social Communication Questionnaire: PEP, Psychoeducational Profile: SPCR, Sensory Profile Checklist Revised: AE, affective expressions; SR, social reciprocity: V. Vineland,

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clear, short and consistent instructions, provided when the target response was not being produced; reward applied immediately after the target response). Undesirable behaviors had to be gently and briefly interrupted, and the child immediately prompted to provide the target response (Cividini-Motta et al., 2019).

The descriptions of each lesson given to educators interacting with the child contained the learning goal (e.g., "Touch the robot in turn"), corresponding verbal instruction (e.g., "It's your turn"), required material (e.g., child's chair), preparation procedure (e.g., place the robot in the center of the room), a step-by-step procedure for learning, and a validation criterion (see below).

#### 2.2.3. Sets of Lessons 503

Given that the volume of the tutorial depicting the lessons was 504 30 pages long, we provide below an abbreviate illustration of 505 its content. Each set comprised a learning procedure that was 506 ultimately aimed at enabling children to produce spontaneously 507 and appropriately the general social skill targeted by the 508 intervention: requesting (Set 1) and turn-taking (Set 2). Each 509 set was composed of seven lessons, each with a learning goal, 510 corresponding to a *required response* to be acquired by the 511 child (e.g., "Look ate the robot," see Table 2, or "Touch the 512 robot in turn", see Table 3). Required responses progressed from 513

TABLE 2   Required responses (R) for requesting set of lessons.			
Set 1	Requesting		
R1.	Look at the robot		
R2.	Get closer to the robot		
R3.	Touch the robot		

R4.	Get closer to and touch the robot	
R5.	Hold inactive robot to the adult	
R6.	Hold inactive robot to the adult, who then activates it	
R7.	Spontaneously hold inactive robot to the adult, who activates it	

TABLE 3 | Required responses (R) for turn-taking set of lessons.

### Set 2 Turn-taking

- R1 Touch the robot in turn
- R2. Touch the robot if it is your turn and if the robot is lit up in blue
- R3 Get closer to and touch the robot, in turn
- R4. If it is your turn and if the robot is lit up in blue, get closer and touch the robot
- R5. If it is your turn and if the robot is lit up in blue, imitate the adult who followed the robot along a short distance
- R6. Wait until the robot has reached the end of a short pathway and, if it is your turn and if the robot is lit up in blue, follow the path and touch the robot R7.
- Touch the robot to select the color controlling the turn-taking; wait until the robot has reached the end of a short pathway and, if the robot is lit up in blue, follow the path and touch the robot

simple to complex, from prompted by the educator to initiated spontaneously by the child, from centered on the toy (robot or ball) to centered on the interaction with the educator (see Tables 2, 3 for the sequence of lessons in each set).

In each lesson, a step-by-step procedure described the 566 elementary actions required from the robot (e.g., light up in blue), 567 the interventionist (e.g., say "It's my turn"), and the child (e.g., 568 "Touch the robot in turn"). Each lesson entailed five discrete 569 learning trials (e.g., five turn-takings) where the interventionist 570

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TABLE 4 | Step by step procedure for the first lesson in the turn-taking set.

Required response	Touch the robot in turn
Antecedent	1. Sit facing the child, and place the robot between you. The robot is inactive.
	2. Touch the robot on the top: it will light up in blue for a moment.
	3. Then encourage the child to do the same. Each time, sa "It's my turn / It's your turn."
Behavior	4. If the child respects his/her turn, the robot will light up in blue.
	5. If not, the interventionist will blocks him/her, saying "No, it's my turn".
	6. If the child does not attempt to touch the robot, the educator selects a guidance specific to the child.
Consequence	<ol> <li>After an errorless sequence of six turn-takings, the robo provides a sensory reward (specific to each child) and the interventionist gives verbal praise.</li> </ol>
Validation Criterion	8. Repeat the sequence of turn-takings 5 times in a row (3 trials in all).
	9. Go to the next lesson if the child has produced a correct turn-taking sequence four times out of five.

attempted to elicit the required response. In accordance with 595 ABA criteria, a required response was deemed to be acquired if 596 it was produced in 80% of these trials, without or with partial 597 prompting (see Figure 1). If, after the five repetitions of the same 598 trial, the child failed to meet this criterion, the educator stopped 599 the whole experimental protocol. The step-by-step procedure for 600 the first lesson in the turn-taking set appears in Table 4, for the 601 second lesson in Table 5. 602

### 604 2.2.4. Workbooks

Information about the children and their caregivers was 605 collected in two workbooks. The first workbook collected general 606 information about the child (i.e., age, diagnostic tools used, 607 developmental age) and provided five psychological tests for 608 psychometric assessment: Social Communication Questionnaire 609 (SCQ) (Rutter et al., 2003), Vineland II (Sparrow et al., 610 2012), Psychoeducational Profile (PEP-3) (Schopler et al., 611 2004), Sensory Profile Checklist Revised (SPCR) (Bogdashina, 612 2012), and Educators' Sense of Efficacity Scale (ESES), adapted 613 from Teachers' Sense of Efficacity Scale (Tschannen-Moran 614 and Hoy, 2001). These tools are described in Appendix 1; 615 their key features and interpretation in Table 1. The second 616 workbook included Educators' Sense of Efficacity Scale and the 617 acceptance questionnaire. 618

### 620 2.2.5. Post-intervention Acceptance Questionnaire

To assess acceptance of the intervention, we developed a questionnaire for the educators targeting several issues: (1) for what kind of children is a robot-assisted intervention best suited? (2) what is its added value, advantages and disadvantages? (3) what is its effect on workload, educational intervention, and children's learning? and (4) what training is required to use the robot in educative intervention? TABLE 5 | Step by step procedure for the second lesson in the turn-taking set.

Required response	Touch the robot if it is your turn and if the robot is lit up in blue
Antecedent	<ol> <li>Sit facing the child, and place the robot between you.</li> <li>The robot is active and lit up either in blue or red.</li> </ol>
	2. If the robot's light is blue say "The robot is blue! Touch it!.
	3. If the robot's light is red say "The robot is red! Don't touch it!".
Behavior	4. If the robot's light is red and the child reaches to touch it, the educator will block the gesture, saying "The robot is red! Don't touch it!"
	<ol> <li>If the robot's light is blue and the child does not attempt to touch it, the educator selects a guidance specific to the child (ex. The light is blue, you can touch it).</li> </ol>
	6. If the robot lights up in blue and the child touches it, the robot light up in white for a moment.
Consequence	<ol> <li>After an errorless sequence of six turn-takings, the robot provides a sensory reward (specific to each child) and the educator gives verbal praise.</li> </ol>
Validation Criterion	7. Repeat the sequence of turn-takings 5 times in a row (30 trials in all)
	Go to the next lesson if the child has produced a correct turn-taking sequence four times out of five.

### 2.3. Procedure

### 2.3.1. Collaboration Procedure

In the present work, the stakeholders first met in order to discuss 657 ethical issues, methodological requirements, and acceptance 658 of the intervention by the children and educators. Two 659 training meetings were organized for them. In the first training 660 meeting, held before the start of experimentation, researchers 661 described the experimental goals and procedure, simulated 662 learning sessions, and described how to manage challenging 663 behaviors. The second training meeting took place during the 664 administration of the first set of lessons: the experimenters 665 provided feedback to the educators, using videos of previous 666 learning sessions. Half a day each week, a hotline was manned 667 by JK to answer the educators' questions. The final meeting 668 took place after the experimentation, in order to present the 669 results and discuss the strengths and weaknesses of the robot-670 assisted intervention. Each family received a brief summary of 671 their child's progress. 672

### 2.3.2. Intervention Procedure

After the educators had taken notice of ABA principles, of lessons 675 content, and of the experimental design, described in the tutorials 676 (see section 2.2.2), they completed the psychological tests from 677 the first workbook (see Table 1). Then, the children underwent 678 a familiarization session, where they were merely put in the 679 presence of an inactive robot. The following week, the lessons 680 started: requesting (see Table 2) followed by turn-taking (see 681 Table 3), according to the step-by-step procedure as described in 682 the tutorials (see Tables 4, 5). Each child was administered each 683 lesson in two conditions, in random order: with the robot and 684



FIGURE 2 | Intervention conditions: with robot (left) and with ball (right).

with the ball (see **Figure 2**). At least one session with the robot and one with the ball was administered for each lesson. Each set of lessons was taught over 12 weeks. The entire intervention took place over 24 weeks. After the intervention, a second workbook was provided, including the ESES and acceptance questionnaire.

### 2.4. Data Reduction and Analysis

### 2.4.1. Observation Grid

After the end of interventions, the method of direct observation from videos was used (Hops et al., 1995). Video recordings of all the experimental sessions were analyzed by two trained coders (psychology undergraduates), who were familiar with ABA and blind to the purpose of the experiment. They used an observation grid listing 16 categories of responses (e.g., proximal pointing, head/gaze oriented toward human, stereotypies, see **Table 6**, right column), organized in four global classes: positive reactions to reward, prosocial behaviors, undesirable behaviors, and orientations (see **Table 6**, left column). To assess child autonomy, coders were required to record the prompts initiated by educators. To assess implementation quality, they were also asked to record the educators' implementation errors. Cohen's kappa was calculated to measure interrater agreement (k = 0.92).

### 2.4.2. Dependent Variables

All dependent variables were measured after the end of interventions. For each child and each experimental condition (robot, ball), we recorded the number of times each response category (e.g., proximal pointing) occurred, resulting in 16 summed scores (see **Table 6**, right column). These scores were then combined to four dependent variables corresponding to above-mentioned global classes (i.e., positive reactions to reward, prosocial behaviors, undesirable behaviors, and orientations, see **Table 6**, left column).

To take a deeper look into the effect of robot-assisted intervention, we computed the proportion of prosocial and undesirable behaviors produced in robot condition. The proportion was then normalized (from 1 to -1):

Normalized.Proportion = 
$$2 \times (\frac{x_{robot}}{x_{robot} + x_{ball}}) - 1$$
 (1)

 TABLE 6 | Dependent variables and to-be-observed response catégories.

Dependent variables	Response categories	Label
Positive reactions to reward	To reward delivered by human	(PRH)
	To reward delivered by robot	(PRR)
Prosocial behaviors	Proximal pointing	(PP)
	Distal pointing	(DP)
	Joint gazing	(JG)
	Physical contact with human	(CH)
	Head/gaze oriented toward human	(OH)
	Social smiles	(SS)
	Desirable vocalizations	(DV)
Orientations	Head/gaze targeting human	(OTH)
	Head/gaze targeting toy: ball or robot	(OTT)
Undesirable behaviors	Inappropriate behaviors	(IA)
	Stereotypies	(S)
	Undesirable vocalizations	(UV)
	Lack of interest	(LI)
	Attentional dropout	(AD)

Each dependent variable in the left hand column is a combination of responses categories shown in the middle column. Left hand colums displays response category labels.

The normalized proportion takes a positive value when most of these behaviors were produced in robot condition, and inversely:

$$\begin{cases} 1 & if \quad x_{robot} > x_{ball} & ^{769} \\ 0 & if \quad x_{robot} = x_{ball} & (2) & ^{770} \\ -1 & if \quad x_{robot} < x_{ball} & ^{771} \\ \end{cases}$$

In the formula,  $x_{robot}$  and  $x_{ball}$  refer to the number of behaviors produced in robot and ball condition, respectively.

### 2.4.3. Statistical Analyses

To capture the characteristics of the children for whom the 777 intervention was stopped and those who passed from lesson to 778 lesson, one-tailed *t*-tests were carried out on all psychological test scores. Three groups were compared: the group who stopped the first set of lessons (i.e., Requesting), the group who started the second set (i.e., Turn-taking), and the group who completed the second lesson of the second set. 783

For further analysis, four experimental factors were 784 envisioned: Condition (robot, ball), Reaction target (human, 785 toy), Orientation Target (human, toy), and Prompt (with, 786 without). Note, for Reaction target and Orientation target, 787 the toy refers to robot in robot condition and to ball in 788 ball condition. 789

To assess the efficacy of the robot-assisted intervention, we ran three statistical analyses. A 2 (Reaction Target = human in robot condition, human in ball condition, robot in robot condition) ANOVA was performed on positive reaction to reward and a 2 (Orientation Target = human, toy)  $\times$  2 (Condition = robot, ball) ANOVA was on orientations. A 2 (Condition = robot, ball)  $\times$ 2 (Prompt = with, without) ANOVA was also run on prosocial behaviors and on undesirable behaviors to check whether the robot improved the children's social skills. 

In all the ANOVAs, repeated measures were used on 799 all dependent variables. Because each experimental factor 800 (Condition, Reaction Target, Orientation Target and Prompt) 801 had two levels, the assumptions of sphericity and of homogeneity 802 of variances were always met. The distributions of dependent 803 variables did not diverge from normal, as indicated by Lilliefors 804 test for normality (D = 0.1052, p = 0.5939; D = 0.0636, p805 = 0.99; D = 0.1145, p = 0.2722; D = 0.0443, p = 0.9901,806 for reactions to reward, prosocial behaviors, orientations and 807 undesirable behaviors, respectively). 808

If required, the ANOVAs were followed by appropriate two-809 tailed *t*-tests. The sign of normalized proportion was tested using 810 811 one-sample *t*-test with 0 as comparison value. Finally, a matrix of correlation indices (r) was computed using all scores from the 812 psychological tests and categories of responses. For all the above-813 mentioned analyses, the significance level was set at p < 0.05, with 814 the corresponding estimates of the effect size  $(\eta^2)$ . 815

#### 2.4.4. Statistical Analyses for Single Participant 817

818 Single-participant analyses were then performed on one of the children with ASD who successfully completed the whole 819 820 intervention protocol. For this dataset, Bayesian statistics for 821 single cases (de Vries and Morey, 2013; de Vries et al., 2015) 822 were used. The posterior distribution for the standardized mean 823 differences and Bayes factors were computed using the JZS+AR 824 model with 10,000 Gibbs sampler iterations (de Vries et al., 2015). 825 The Bayes factor quantifies evidence in the data for the null 826 hypothesis against the alternative one: an inverse Bayes factor 827 (1/BF) greater than 1 supports the alternative hypothesis. All 16 828 categories of responses, together with prosocial behaviors and 829 undesirable behaviors, were submitted to this analysis. 830

#### 831 2.4.5. Descriptive Statistics

832 To provide a glimpse into implementation fidelity, that is, the 833 degree to which the educators strayed from the procedure 834 specified by the experimenters, the coders were required to 835 record any implementation error. The frequency of the failures 836 was computed as a ratio of the number of failures to the number 837 of videos. Finally, responses to the acceptance questionnaire were 838 scored as percentages. 839

### 3. RESULTS

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#### 3.1. Child Sample Results 843

Children's Vineland-II and PEP-3 scores in our sample were 844 low (see Table 1), indicating severe delays in social adaptive 845 behavior, as well as in AE and SR skills. On average, sensory 846 847 abnormalities were moderate. Of the 20 children with ASD who 848 were initially enrolled, 15 reached the second set of lessons. The five participants who had to stop the first set had lower Vineland 849 scores on listening, speaking and autonomy than the remaining 850 participants,  $t_{(18)} = 3.20$ , p < 0.007;  $t_{(18)} = 3.04$ , p < 0.007; and  $t_{(18)}$ 851 = 2.29, p < 0.032. Of the 15 children who started the second set of 852 853 lessons, only eight completed it. These eight children had higher Vineland listening scores than those who failed to complete the 854 first and second sets of lessons,  $t_{(13)} = 2.23$ , p < 0.044. 855

# 3.2. Robot-Assisted Intervention Results

### 3.2.1. Reward Deliver

A 2 (Reaction Target = human in robot condition, human in ball condition, robot in robot condition) ANOVA on positive reactions showed a main effect of Reaction Target,  $F_{(2,14)} =$ 4.06, p = 0.04,  $\eta^2 = 0.546$ . Corrected pairwise comparisons (see Figure 3A) showed that there was more positive reactions to the reward when it was delivered by the robot rather than by the human in robot and in ball conditions [ $t_{(7)} = 2.37$ , p = 0.049;  $t_{(7)} = 2.50 \ p = 0.04$ ].

### 3.2.2. Undesirable Behavior Reducer

A 2 (Condition)  $\times$  2 (Prompt) ANOVA on undesirable behaviors revealed no statistically reliable effects.

### 3.2.3. Social Mediator

A 2 (Orientation Target)  $\times$  2 (Condition) ANOVA on orientation indicated an important main effect of Orientation Target,  $F_{(1, 7)}$ = 23.538, p <0.002,  $\eta^2$  = 0.771. Children oriented more frequently toward the toy (i.e., ball or robot) than toward the educator. As illustrated in Figure 3B, there was also an Target Orientation × Condition interaction,  $F_{(1,7)} = 12.850$ , p <0.009,  $\eta^2 = 0.647$ . When the children played with the robot, they oriented more often toward the robot than toward the educator,  $t_{(7)} = 7.78 \ p < 0.0001$ . When they played with the ball, there was no effect of Orientation target,  $t_{(7)} = 1.80$ , p = 0.1142.

A 2 (Condition) × 2 (Prompt) on prosocial behaviors revealed a main effect for Prompt on prosocial behaviors only,  $F_{(1,7)} =$ 9.688, p < 0.017,  $\eta^2 = 0.581$ : Prosocial behaviors occurred more frequently with the prompt (20.06, SD = 10.75) than without it (9.50, SD = 8.45).

The value of the normalized proportion of prosocial behaviors was significantly negative,  $t_{(6)} = 2.948$ , p = 0.026: There were more prosocial behaviors in the ball rather than in the robot condition.

### 3.2.4. ASD Children Characteristics

There was a positive correlation between SCQ scores and orientations toward the ball condition (r = 0.794, p = 0.033), and a negative correlation between orientations toward the robot and auditory sensory abnormalities (r = -0.907, p = 0.005).

Further conclusions were drawn from the correlation between the normalized proportion of prosocial behaviors and SCQ score: the more severe the symptoms (i.e., the higher the SCQ value), the lower the proportion of prosocial behaviors produced in the robot as compared to the ball condition 901 (r = -0.813, p = 0.026).902

### 3.2.5. 6 Longitudinal Single-Participant Analysis

The child with ASD who completed all the sessions directed his gaze more often toward the robot than toward the ball (1/BF = 1.32 > 1) (Figure 4A). He also produced more stereotypic behaviors in the robot than in the ball condition (1/BF = 2.82)908 >1) (Figure 4B).

### 3.3. Implementation Issues

Given that in collaborative/applied research, experimenters 911 do not have total control of the implementation process 912

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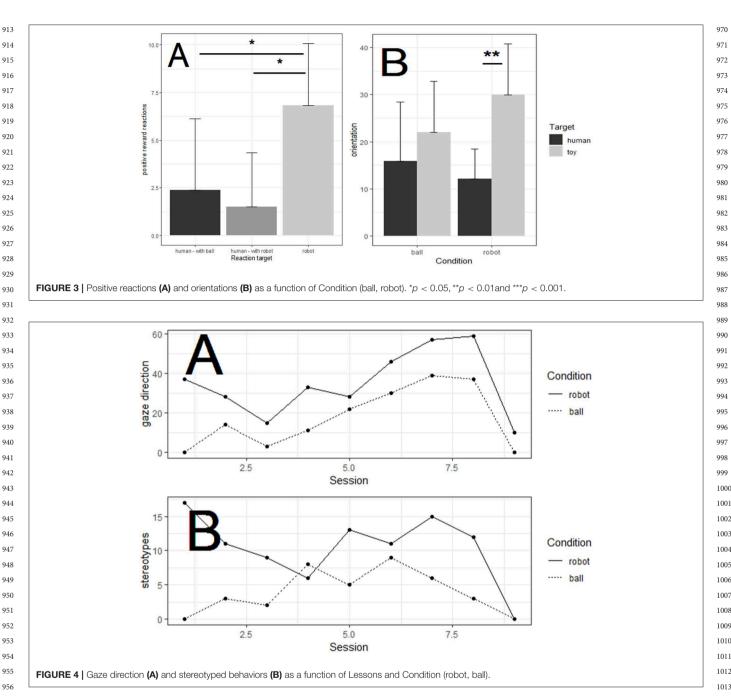
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and context of the experimental procedure, it is essential to describe the context delivery and the real-world difficulties encountered. This may prove to be particularly valuable in future efforts predicting, avoiding or better adapting to these socio-ecological constraints.

During the first set of lessons, the experimenters and coders identified five implementation failures where educators strayed from experimental requirements: instruction repeated too often or delivered at an inappropriate time; errors in action sequencing (i.e., instruction + prompt + interval, behavior + reward); reward omitted or delivered at an inappropriate time (e.g.,

before the child's behavior or after a failure); trial omission; and distractors not removed. In the set of 32 videos that were examined, 48 implementation failures were recorded, thus resulting in 1.5 failures per session. As indicated in Table 7, the most frequent failures were associated with reward or trial omission. However, the most severe procedural error was the omission of baseline conditions: before the intervention, the researchers had asked the educators to perform two baseline sessions: one with the robot and one with the ball, but some educators only carried out the baseline condition with the robot.

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### TABLE 7 | Implementation failures.

1028	Nature of implementation failure	Failure
1029		frequency
1030		
1031	Instruction	0.16
1032	Action sequencing	0.13
1033	Reward	0.69
1034	Trial	0.47
1035	Distractor	0.06
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#### 3.4. Robot Acceptance 1039

#### 3.4.1. Acceptance Questionnaire 1040

1041 The distributions of responses to the acceptance questionnaire 1042 showed that 87% of educators were satisfied or quite satisfied with their experience with the robot, 73% agreed that the 1043 1044 robot brought substantial added value and transformed their 1045 practice, and 87% wanted to keep on using the robot in the 1046 future. Nevertheless, 67% of respondents confessed that they had been tempted to stop the intervention procedure. The 1047 reasons they gave included technical (80%) and organizational 1048 1049 (33%) difficulties. The list of robot disadvantages also included 1050 substantial personal investment (60%) and increased workload 1051 (43%). In response to the questions assessing their training 1052 requirements, 40% of interventionists deemed that they need 1053 training in applying a structured educational approach.

#### 3.4.2. Interventionists' Self-Efficacy Assessment 1055

The interventionists' feeling of self-efficacy was initially high, and 1056 rose from 78.43 (SD = 11.97) before the intervention to 93.26 (SD1057 = 10.29) after the intervention on a scale of 0–100, representing 1058 a significant increase,  $t_{(6)} = -4.5962$ , *p* < 0.004. 1059

#### 4. DISCUSSION 1061

To better understand how to construct robotic tools for 1063 individuals with ASD, we conducted a collaborative study 1064 1065 assessing the effects of a robot-assisted intervention on children 1066 with low-functioning ASD. Our intervention provided mixed results. As expected, children reacted more positive affect 1067 to rewards in robot as compared to control condition (viz. 1068 1069 Hypothesis 1), and educators were quite enthusiastic about the 1070 robotic help in the learning task (viz. Hypothesis 4). However, 1071 contrary to our expectations, our robot was not able to act 1072 as a social mediator (viz. Hypothesis 3): when children played with the robot, they payed more attention to the toy than to 1073 the educator and the proportion of prosocial behaviors was 1074 higher in the control condition. Undesirable behaviors did not 1075 decrease (viz. Hypothesis 2). Of interest, the progression in the 1076 curriculum was IQ-specific: among the children we enrolled, 1077 1078 those who displayed higher listening skills moved easily from lesson to lesson. 1079

#### 4.1. Reward Deliver 1081

Children with ASD had more positive reactions to reward 1082 delivered by robot rather than to praises delivered by the eductor. 1083

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This observation is analogous to enthusiastic reactions to robot reported in previous case studies (Dautenhahn, 1999, 2000; 1085 Kozima et al., 2007).

This enhanced reaction did not generalize to rewards 1087 delivered by the educator in robot condition though. The robot 1088 did not act as a general motivator (i.e., "motivating operation," 1089 Laraway et al., 2003; Edwards et al., 2019) enhancing the 1090 reinforcing effectiveness of any reward delivered in its presence. 1091 Rather, it acted as a preferred object: a strongly attractive object 1092 for children with ASD (DeLeon et al., 2001). In further studies, 1093 robots might be thus used to reinforce behaviors targeted by 1094 interventions, and compared to already exiting preferred toys. 1095

## 4.2. Undesirable Behavior Reducer

Our robot had no consistant effect on undesirable behaviors: stereotypic behaviors even increased in one child. Ismail et al. (2012) suggested that robots may contribute to reduce the frequency of stereotypic behavior only for children with mild or no intellectual deficit. This demonstrates the need for psychometric descriptions of children in studies on robotassisted interventions.

### 4.3. Social Mediator

The proportion of prosocial behaviors was higher in the control 1108 condition, rather than the robot-assisted intervention. We failed 1109 to offer support to social mediator hypothesis. Robins et al. (2005) 1110 warned that instead of social mediator, robots may sometimes 1111 take the role of social isolator. Meucci et al. (2019) suggested 1112 that the advantage of the interaction with a robot depends on 1113 the level of intellectual functioning of the children with ASD. 1114 In our data, we indeed noted that the more severe the ASD 1115 the lower the proportion of prosocial behaviors produced in the 1116 robot condition. 1117

Note, extant information on social mediator hypothesis 1118 mostly comes from pilot studies or technical reports, without 1119 control condition, descriptive and inferential statistics (Werry 1120 et al., 2001; Robins et al., 2009; Iacono et al., 2011; Shamsuddin 1121 et al., 2012) and/or without diagnostic method, exclusion and 1122 inclusion criteria, developmental age etc. (Feil Seifer and Mataric, 1123 2009; Valadao et al., 2016). Further studies could better comply 1124 with the requirements of clinical methodology. 1125

Our intuition here is that using a highly attractive tool 1126 comes with the risk of turning the child with ASD away from 1127 the interpersonal social interaction skill, target of the training 1128 program. Our data indeed showed that children with ASD 1129 primarily gazed at the toy, seeing it as more attractive than 1130 the educator, in line with Social Motivation Theory of Autism 1131 (Chevallier et al., 2012; Delmonte et al., 2012). We suppose that 1132 robots would be more likely to "catalyze" prosocial behaviors 1133 if they interacted directly with the child, without any remote 1134 control, and if they endorsed a social role: that of prompter, 1135 teacher, helper in critical situations, etc. (Zubrycki and Granosik, 1136 2016; Huijnen et al., 2017). Children with ASD would be 1137 therefore efficiently trained to produce and interpret social cues 1138 exchanged with the robot, and perhaps could generalize this 1139 learning to interpersonal interaction. In future research, robots 1140 of higher autonomy, similar to Jibo (Guizzo, 2015) or MINA(Ghorbandaei Pour et al., 2018) deserve particular attention.

# 4.4. Sensory Aversions and Inter-individual Heterogeneity Issue

Before the intervention, we feared that our robot, with its lighting
signals and noisy functioning, might trigger anxiety among
the children with ASD. The Intense World Theory of Autism
(Markram, 2007) warned us indeed that children with ASD
may be hypersensitive to these stimuli. This turned out to be
a legitimate concern, as most of the auditory-sensitive children
turned away from the robot.

1153 This finding underscores the overlooked challenge faced by robots in the context of ASD: the inter-individual heterogeneity 1154 of children with ASD is shaping their reactions. This inter-1155 individual heterogeneity makes it unlikely that a given robot or a 1156 1157 given intervention will work for all children with ASD. In clinical 1158 settings, interventionists are used to adjust to each individual (Stahmer et al., 2011). They identify the sensory and cognitive 1159 particularities of each individual in order to decide which toy 1160 and which educational goal may be selected. They determine 1161 in real time how to attract the child's attention and modulate 1162 1163 child anxiety, and which instructions, prompts, rewards and pauses should be administered. In further studies, robots should 1164 be endowed with an extensive set of educational goals and 1165 1166 sensory options so that the administration of the educational 1167 procedure can be personalized. A first step toward this goal was 1168 recently made by Clabaugh et al. (2019) who developed a fully 1169 autonomous robot, SPRITE, able to personalize its instruction 1170 and feedback to each child's proficiency.

### 1172 **4.5. Collaboration Issues**

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One of the most often debated issues in the field of robotic 1173 assistance for children with ASD is infringement of the 1174 methodological rules of clinical research (Kim et al., 2012; Pennisi 1175 et al., 2016). This was an acute problem in our participatory study 1176 too. In the face of the understandable enthusiasm of the other 1177 stakeholders, it was difficult for the researchers to make their 1178 warnings heard. Nonexperimentalists have difficulty accepting 1179 that the violation of methodological rules inexorably means that 1180 some of the data that are collected are unusable. 1181

Despite the obvious advantages of participatory research, it 1182 is important to acknowledge that this strategy creates huge 1183 problems in terms of coping with the priorities and constraints 1184 of different stakeholders, often working at cross purposes (Kim 1185 et al., 2012). Evidently, investors need to deliver a compelling 1186 marketable innovation capable of a sustainable commercial 1187 growth. Engineers want to promote innovative technological 1188 1189 platforms that make existing ones obsolescent (Kim et al., 2012). Researchers are concerned with the originality and efficacy 1190 of the educational intervention, and thus need to respect to 1191 rigorous methodological criteria (Pennisi et al., 2016). The 1192 special need educators are interested in creating a user-friendly, 1193 personalizable tool that meets the specific needs of individual 1194 1195 patients and fits in with current learning routines (Boardman et al., 2005). The company organizing the project has to factor 1196 in the time-limited and evanescent nature of the funding. There 1197

may be insufficient time and financial resources to organize meetings in order to build communication and trust between partners and work out a consensus on the standards of excellence to be met.

### 4.6. Implementation Fidelity Issue

As feared, the educators derailed from procedure dictated by research design (viz. Hypothesis 5). Despite workbooks, demonstrations and a hotline, educators made 15 implementation failures per session. In this respect, our intervention attempt was no different from others: Stahmer et al. (2015). showed that even after 28 h of intensive workshops, followed by 2 years of observation and coaching, the percentage of sessions meeting 80% implementation fidelity was just 60% for discrete trial teaching and as low as 20% for pivotal response training. Contrary to academic staff, special needs educators do not undergo years of training in administering trial-based, experiment-like procedures. Their skills imply intimate understanding of the child's difficulties and needs. Our intuition is that robots may play a non-negligible role here. If they can be designed to free educators from structuring the intervention according to the guidelines of educational protocol, they may contribute to the dissemination and application of structured educational approaches (e.g., ABA) recommended by health services.

# 4.7. Acceptance of the Robot-Assisted Intervention

The educators who took part in the present study were highly satisfied with their interaction with the robot. Coders noted that they seemed to take greater pleasure in interacting with the children. They had a greater feeling of self-efficiency after the experiment. Although we suspect that responses to the selfefficiency questionnaire were affected by a social desirability bias (Troye and Supphellen, 2012), leading the care staff to ignore undesirable traits such as self-doubt, it is quite possible that being supported by a robotic tool, instead of facing the child alone, engendered feelings of relief and satisfaction.

# 5. CONCLUSION

To better understand how to construct convincing tools for 1241 individuals with ASD, we conducted a collaborative study that 1242 assessed the effects of a robot-assisted intervention on both 1243 the prosocial and undesirable behaviors of children with low-1244 functioning ASD. The robot attracted orienting responses from 1245 the children and the rewards it offered elicited more positive 1246 responses, but it failed to act as a social mediator: it did 1247 not motivate desired social behaviors toward humans. Robotic 1248 assistance was obviously judged to be positive by educators, thus 1249 contributing to the dissemination of evidence-based practices for 1250 individuals with ASD. In further studies, robots with higher levels 1251 of autonomy and differentiation, of richer set of educational goals 1252 and sensory response options might be tested as reinforcers of 1253 social behaviors targeted by educative intervention. 1254

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### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article can be found through Figshare (10.6084/m9.figshare.11994801).

### ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Research Ethics Committee for Non-Invasive Procedures (CERNI) of the Université Fédérale Toulouse Midi-Pyrénées. The interventionist and the families of all the children received a letter explaining the goals, experimental procedure and rights of parents and children, and provided their written informed consent, in accordance with the Declaration of Helsinki. Each parent completed a form provided by the University of Toulouse informing them about their rights and predictable risks in comparison with foreseeable benefits. Written informed consent was obtained from the individual(s) or the individuals' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

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### **AUTHOR CONTRIBUTIONS**

JK designed the study and supervised data collection. VK analyzed the results and wrote the manuscript. JK and VK critically reviewed and edited the manuscript for important intellectual content. All authors approved the final manuscript.

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### **APPENDIX 1**

## List of Psychological Tests Used

The SCQ is a screening tool based on the DSM-IV criteria for autism and the *ADI-R* algorithm (Rutter et al., 2003). It takes the form of a standardized parent questionnaire to assist in autism diagnosis by capturing key autistic symptoms (e.g., "did he/she ever show you things that interested him/her to engage your attention?").

The *Vineland-II* is a structured interview administered to primary caregiver(s) to assess a child's daily living skills (e.g., "looks at the caregiver when s/he hears his voice"). Using this tool, we evaluated three domains (communication, daily living skills, socialization), thus obtaining an overall adaptive behavior evaluation.

The *PEP-3* identifies learning strengths and facilitates the selection of educational programs for children with ASD. In the present study, we scrutinized affective expressions (AE; e.g., "manifests an appropriate level of fear") and social reciprocity (SR; e.g., "initiates social interactions").

The *SPCR* assesses unusual sensory experiences of individuals with ASD (e.g., "covers ears when hears certain sounds").

The *ESES* comprises 13 items evaluating interventionists' beliefs about their efficiency in controlling children (e.g., "I am able to copy with disruptive behavior in a teaching session").

The *SCQ* and *Vineland-II* yield standardized scores, while the others yield raw scores. A high *SCQ* score indicates a severe form of ASD-like symptoms. For the remaining tests, low scores indicate a severe functional impairment (see **Table 1**).